**Instructions**

**Direct Material Supplier**: A supplier that provides items that are in a bill of material for a finished good.

**Indirect Supplier**: A supplier that provides materials or services that are not part of a finished good.

**Sections 1-5** are to be completed by **ALL** suppliers.

**Section 6 and up** are to be completed by **Direct Material Suppliers.**

Section 1: General Information

**Business Name**: Registered business name.

**DUNS**: 9-digit D&B business identification number.

**Address**: Street Address and/or PO Box, City, State/Province, Postal Code.

|  |  |  |  |
| --- | --- | --- | --- |
| **Business Name** |  | **DUNS** |  |
|  |  |  |  |
| **Address** |  |
|  |  |  |  |
| **Telephone** |  | **Contact Name** |  |
|  |  |  |  |
| **Email Address** |  | **Web Site** |  |
|  |

Section 2: **Acceptance of Watts Water Technologies Standard Payment Terms**

Watts Water Technologies, Inc./Watts Regulator Co. standard payment terms are 3% 30 Net 90 or Net 90.

|  |  |  |
| --- | --- | --- |
| We require that all suppliers agree to our standard payment terms by signing on selected terms. | **Terms Chosen** |  [ ]  3% 30 Net 90  [ ]  Net 90 |
|  |  |
| **Supplier Representative** |  |
| Print Name |
|  |
| Signature |
| **Date** |  |
|  | mm/dd/yyyy |

Section 3: Remit-To Address

Only if different than the physical address.

**Business Name**: Registered business name.

**DBA**: Doing Business As name.

**Address**: Street Address and/or PO Box, City, State/Province, Postal Code.

|  |  |
| --- | --- |
| **Business Name** |  |
|  |  |  |  |
| **DBA** |  |
|  |  |
| **Address** |  |
|  |  |  |  |
| **Telephone** |  | **Contact Name** |  |
|  |  |  |  |
| **Email Address** |  |
|  |

Section 4: Required Additional Information

**Fill out the documents and forward them together with this form.**

|  |  |  |  |
| --- | --- | --- | --- |
| **Method of Payment** |  | **Confidentiality Agreement** |  |
|  |
| **Domestic/International Suppliers** |  | **Environmental, Social, and Governance (ESG)** |  |
|  |

Section 5: Commodity and Process

Select Primary Commodity and Process codes from the below.

List additional codes related to your business on “Additional” line.

**Commodity Codes:**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| [ ] 1 | **Brass** | [ ] 76 | **Automobile** | [ ] 94 | **Rent** |
| [ ] 2 | **Bronze** | [ ] 77 | **Bank** | [ ] 95 | **Security** |
| [ ] 3 | **Copper** | [ ] 78 | **Communication** | [ ] 96 | **Service** |
| [ ] 4 | **Resin** | [ ] 79 | **Consulting** | [ ] 97 | **Supplies** |
| [ ] 5 | **Stainless Steel** | [ ] 80 | **Contractors** | [ ] 98 | **Tax** |
| [ ] 6 | **Iron** | [ ] 81 | **Contribution** | [ ] 99 | **Temporary Services** |
| [ ] 7 | **Rubber** | [ ] 82 | **Couriers** | [ ] 100 | **Training** |
| [ ] 8 | **Zinc** | [ ] 84 | **Employees** | [ ] 101 | **Travel** |
| [ ] 9 | **Aluminum** | [ ] 85 | **Equipment** | [ ] 102 | **Utilities** |
| [ ] 10 | **Others** | [ ] 86 | **Facilities** | [ ] 200 | **Cutting Tools** |
| [ ] 11 | **OEM / Buy sell Products** | [ ] 87 | **Fees** | [ ] 201 | **Jigs/Fixtures** |
| [ ] 12 | **Steel** | [ ] 88 | **Freight** | [ ] 202 | **Safety Supplies (PPE)** |
| **Additional Commodity Codes:** | [ ] 89 | **HR** | [ ] 203 | **Inspection Tools** |
|  | [ ] 90 | **IT** | [ ] 204 | **Maintenance** |
|  | [ ] 91 | **Legal** | [ ] 205 | **Oils** |
|  | [ ] 92 | **Marketing** | [ ] 206 | **Fab Supplies** |
|  | [ ] 93 | **Recruiting** | [ ] 207 | **Environmental Affairs** |
|  |  |  | [ ] 208 | **Distributors** |

**Process Code:**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| [ ] 2 | **Casting** | [ ] 220 | **Drills** | [ ] 240 | **Cleaning** |
| [ ] 3 | **Machining** | [ ] 221 | **Taps** | [ ] 241 | **Degreasing Supplies** |
| [ ] 4 | **Forging** | [ ] 222 | **Chasers** | [ ] 242 | **Chemical Treatment Supplies** |
| [ ] 5 | **Injection Molding** | [ ] 223 | **Die Heads** | [ ] 243 | **Coolant** |
| [ ] 6 | **Molder** | [ ] 224 | **Grinding Wheels** | [ ] 244 | **Cutting Oils** |
| [ ] 7 | **Extrusion** | [ ] 225 | **Jaws** | [ ] 245 | **Lubricating Oil** |
| [ ] 8 | **Stampings** | [ ] 226 | **Pins** | [ ] 246 | **Way Lube** |
| [ ] 9 | **Hardware** | [ ] 227 | **Glasses** | [ ] 247 | **Hydraulic Oil** |
| [ ] 10 | **Die Cutters** | [ ] 228 | **Rags** | [ ] 248 | **Compressor Fluids** |
| [ ] 11 | **Fabrication** | [ ] 229 | **Ear Plugs** | [ ] 249 | **Rust Preventors** |
| [ ] 12 | **Coating** | [ ] 230 | **Gloves** | [ ] 250 | **Clear Sprints** |
| [ ] 13 | **Electronics** | [ ] 231 | **1st Aid** | [ ] 251 | **Impregnation Fluids** |
| [ ] 14 | **Spring** | [ ] 232 | **Gages** | [ ] 252 | **Laser** |
| [ ] 15 | **Raw Material** | [ ] 233 | **Wood** | [ ] 253 | **Welding** |
| [ ] 16 | **Packaging** | [ ] 234 | **Paint** | [ ] 254 | **Soldering** |
| **Additional Process Codes:** | [ ] 235 | **Electrical** | [ ] 255 | **Tips** |
|  | [ ] 236 | **Mechanical** | [ ] 256 | **Hazardous Waste** |
|  | [ ] 237 | **Air** | [ ] 257 | **Distributors** |
|  | [ ] 238 | **Water** | [ ] 258 | **Equipment** |
|  | [ ] 239 | **Fasteners** |  |  |

Section 6: Tier Level and ISO Certification

Select Tier Level and provide ISO certification if applicable.

Check ALL the ISO certifications that are earned by your Company.

|  |  |
| --- | --- |
| **Contact Name for Quality** |  |
|  |
| **Telephone** |  | **Email** |  |
|  |

|  |  |
| --- | --- |
| [ ]  Tier 1 | **OEM Grade Supplier** |
| [ ]  Tier 2 | **Components Supplier** |
| [ ]  Tier 3 | **Raw Material Supplier** |

|  |  |  |
| --- | --- | --- |
| **ISO Certified** |  [ ]  Yes |  [ ]  No |
|  |
| **Approval Agency Name** |  |
|  |
| **Additional Notes** |  |
|  |

Go to the next section if ISO Certified ‘No’

|  |  |  |
| --- | --- | --- |
| **ISO Standard** | **Expiration Date****(mm/dd/yyyy)** |  |
| [ ] 9001 | **Quality** |  |  |
| [ ] 14001 | **Environmental** |  |  |
| [ ] 45001 | **Safety and health management system** |  |  |
| [ ] 55001 | **Asset management** |  |  |
|  |
| [ ] Other |  |  |
|  |

Section 7: Compliance

Do your products fall under any of the following programs:

|  |  |  |
| --- | --- | --- |
|  | **Yes** | **No** |
| **Conflict Minerals:** Products contain any 3TG- Tin, Tantalum, Tungsten or Gold | [ ]  | [ ]  |
| **REACH**: European regulations governing substances of very high concern (SVHC) | [ ]  | [ ]  |
| **SCIP**: Substances of Concerns in Product – Identify the goods that have SVHC | [ ]  | [ ]  |
| **ROHS:** European regulations governing Removal of Hazardous Substances | [ ]  | [ ]  |
| **Lead-Free**: Any products with lead content below .25% lead on wetted surfaces  | [ ]  | [ ]  |
| **PFAS:** Does your product contain any PFAS (poly-fluoroalkyl substances)? | [ ]  | [ ]  |
| **TSCA**: Toxic Substance Control Act – US regulations that govern import and use of chemicals and banned chemicals in manufactured goods.  | [ ]  | [ ]  |
|  |
| [ ]  Signed Code of Conduct ESG Acknowledgement? ([Additional Form - Required](https://investors.wattswater.com/governance/code-of-conduct/default.aspx)) |
|  |
| **Contact Name for Compliance** |  |
|  |
| **Telephone** |  | **Email** |  |
|  |
| Other |  |
|  |

Section 8: DEI Program

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Example:**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | [x]  **Yes**[ ]  **No** |  | **Source Code Name** |  | **Certification Number** |  | **Certification Date** |  | **Expiration Date** |
| **Minority Business Enterprise** | [x]  |  | SBA |  | CERT12345 |  | 01/01/2023 |  | 12/32/2026 |

 |

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | [x]  **Yes**[ ]  **No** |  | **Source Code Name** |  | **Certification Number** |  | **Certification Date** |  | **Expiration Date** |
|  |
| **Minority Owned (US/CA)** | [ ]  |  |
| Indicates whether a business is at least 51% owned by, and whose management and daily business operations are controlled by, one or more members of a socially and economically disadvantaged minority group, namely U.S. citizens who are African Americans (Black), Hispanic Americans, Native Americans, Asian-Pacific Americans and Indian Sub-Continent Americans. |
| **Minority Business Enterprise** | [ ]  |  |  |  |  |  |  |  |  |
| **African American** | [ ]  |  |  |  |  |  |  |  |  |
| **Asia-Pacific American** | [ ]  |  |  |  |  |  |  |  |  |
| **Hispanic American** | [ ]  |  |  |  |  |  |  |  |  |
| **Indian Sub-Continent American** | [ ]  |  |  |  |  |  |  |  |  |
| **Native American** | [ ]  |  |  |  |  |  |  |  |  |
| **Alaskan Native Corporation** | [ ]  |  |  |  |  |  |  |  |  |
| **Other**  |  |  |  |  |  |  |  |  |  |
|  |
| Section 450b(e) of title 25 U.S.C. ''Indian tribe'' means any Indian tribe, band, nation, or other organized group or community, including any Alaska Native village or regional o village corporation as defined in or established pursuant to the Alaska Native Claims Settlement Act (85 Stat. 688) (43 U.S.C. 1601 et seq.), which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians. |
| **Women Owned** | [ ]  |  |
| Indicates whether a business is at least 51% owned by, and whose management and daily business operations are controlled by one or more women who are U.S. citizens. |
| **Women Owned Business Enterprise** | [ ]  |  |  |  |  |  |  |  |  |
|  |
| To qualify as a women-owned small business (WOSB), a business must meet the following requirements identified in 13 CFR 127.200, 201, and 202: • Meet the small business size standard for the primary NAICS code and contract • Be at least 51% unconditionally and directly owned by women who are U.S. citizens • Women must manage the day-to-day operations. Women must make the long-term decisions for the business.  A woman must the hold highest officer position in the business.  This woman must work at the business full-time during normal working hours. No minimum amount of time for the business to be operational A Woman Business Enterprise is an organization that is usually certified by a national, territorial or local government agency as having met all of the government standards that award eligibility, and with a woman owning at least 51% ownership of the business |
| **Women Owned (Other)** | [ ]  |  |  |  |  |  |  |  |  |
|  |
| To qualify as a women-owned small business (WOSB), a business must meet the following requirements identified in 13 CFR 127.200, 201, and 202: • Meet the small business size standard for the primary NAICS code and contract • Be at least 51% unconditionally and directly owned by women who are U.S. citizens • Women must manage the day-to-day operations. Women must make the long-term decisions for the business.  A woman must the hold highest officer position in the business.  This woman must work at the business full-time during normal working hours. No minimum amount of time for the business to be operational A Woman Business Enterprise is an organization that is usually certified by a national, territorial or local government agency as having met all of the government standards that award eligibility, and with a woman owning at least 51% ownership of the business |
| **Veteran Owned (US)** | [ ]  |  |  |  |  |  |  |  |  |
| Indicates whether a business is a least 51% owned by one or more veterans, who control and operate the business. Control in this context means exercising the power to make policy decisions and operate means to be actively involved in the day-to-day management of the business. The term “veteran” (38 U.S.C.’101(2)) means a person who served in the active military, naval, or air service, and who was discharged or released there from under conditions other than dishonorable. |
| **Veteran Business Enterprise** | [ ]  |  |  |  |  |  |  |  |  |
|  |
| Indicates whether a business falls under SBA Guidelines Small Business Act (PL 85-536), which as amended states: Small Business Concerns owned and controlled by veterans not less than 51% owned by one or more veterans and in the case of a publicly owned business not less than 51% of the stock is owned by one or more veterans. |
| **Disabled Veteran Business Enterprise** | [ ]  |  |  |  |  |  |  |  |  |
|  |
| Indicates whether a business is recognized by the state of California, meaning a veteran of the U.S. military, naval, or air service, with a service-connected disability of at least 10% or more and who is a California resident; where at least 51% is owned by disabled veteran/s and conducts daily business management and control. The home office cannot be a branch or subsidiary of a foreign corporation, foreign firm or other foreign-based business. |
| **Disadvantaged Veteran** | [ ]  |  |  |  |  |  |  |  |  |
|  |
| Indicates whether a business is a small business concern owned and controlled by veterans, where not less than 51% is owned controlled and managed by veterans. As defined in (38 U.S.C. ‘101(2)) See veteran definition. |
| **Service-Disabled Veteran** | [ ]  |  |  |  |  |  |  |  |  |
| Indicates whether a business is at least 51% owned by one or more veterans with a disability that is service connected. The term “service connected” means, with respect to disability or death, that such disability was incurred or aggravated, in the line of duty in the active military, naval or air service. (38 U.S.C. ‘101(16)). |
| **Vietnam Veteran Owned** | [ ]  |  |  |  |  |  |  |  |  |
| Indicates whether a business is a least 51% owned by one or more Vietnam Veterans who served between 1/1/59 and 5/7/75 and have control and operate the business. Control in this context means exercising the power to make policy decisions and operate means to be actively involved in the day-to-day management of the business |
| **Veteran Owned (Other)** | [ ]  |  |  |  |  |  |  |  |  |
| **Small Business** | [ ]  |  |  |  |  |  |  |  |  |
| Indicates whether a business concern is eligible for assistance from the SBA. Eligibility requirements include: is organized for profit, with a place of business located within the U.S. It must operate primarily within the U.S. or make a significant contribution to the U.S. economy through the payment of taxes or use of American products, materials or labor. Together with its affiliates, it must meet the numerical size standards as defined in the Small Business Size Regulations, 13 CFR 121. |
| **SBA Certified HUB Zone** | [ ]  |  |  |  |  |  |  |  |  |
|  |
| Indicates whether a business concern is eligible for assistance from the SBA. Eligibility requirements include is organized for profit, with a place of business located within the U.S. It must operate primarily within the U.S. or make a significant contribution to the U.S. economy through the payment of taxes or use of American products, materials or labor. Together with its affiliates, it must meet the numerical size standards as defined in the Small Business Size Regulations, 13 CFR 121. |
| **SBA Certified 8(a) Program** | [ ]  |  |  |  |  |  |  |  |  |
|  |
| Indicates whether a business meets the eligibility criteria established by the Small Business Administration for small companies owned by socially and economically disadvantaged persons, so that they may bid and obtain federal government contracts and other assistance to develop their business. The business owner must be eligible under the same rules and guidelines set down by the federal government. |
| **SBA Certified Small Disadvantaged** | [ ]  |  |  |  |  |  |  |  |  |
|  |
| Indicates whether a business meets certification eligibility criteria established by the SBA effective 7/1/99. All firms must be certified by one of the Small Disadvantaged Business Certification Agencies designated by the SBA. To qualify must be a small business, not exceed standards for Primary SIC, meet Contracting Officers assigned SIC code, be a U.S. Citizen and be 51% owned and controlled by one or more Socially & Economically Disadvantaged Individuals. The SBA classification is based on a "Preponderance of the Evidence Clause”. Small Disadvantaged Business Certification is good for 3 years. |
| **Disadvantaged Business Enterprise** | [ ]  |  |  |  |  |  |  |  |  |
|  |
| Indicates whether a business is certified by a federal, state. or local government agency as having met all of the government standards that award eligibility, but may include women, minority, disabled and other disadvantaged businesses as a result of economic disadvantages with respect to education, employment, residence or business location or social disadvantage and lack of business training. |
| **Local Disadvantaged Business Enterprise** | [ ]  |  |  |  |  |  |  |  |  |
|  |
| An LDBE is defined as a small business concern which is organized for profit and located within a pre-determined radius of a community's Mile Marker. The average gross receipts, based on the firm's last three years of receipts, must not exceed the small business size standard established by the Authority for the services or goods that will be provided in an Authority contract. The receipts of all affiliates are counted in determining size. Business concerns are affiliates of each other when, either directly or indirectly, one concern controls or has the power to control the other, or (2) a third party (or parties) has the power to control both. Consideration is given to such factors as common ownership and management, contractual relationships and overlapping authority |
| **Certified Small Business** | [ ]  |  |  |  |  |  |  |  |  |
|  |
| Indicates whether the business is small, usually certified by federal, state, or local government agency or organization as having met all of the government standards that award eligibility |
| **Small Business (SBA Size Standard)** | [ ]  |  |  |  |  |  |  |  |  |
| **Disabled-Owned Business** | [ ]  |  |  |  |  |  |  |  |  |
|  |
| Indicates whether a business is a least 51% owned by one or more disabled persons who control and operate the business. Control in this context means exercising the power to make policy decisions and operate means to be actively involved in the day-to-day management of the business. |
| **Labor Surplus Area** | [ ]  |  |  |  |  |  |  |  |  |
| Indicates whether the business is operating in a labor surplus area as defined for a Civil Jurisdiction rather than the entire MSA where the average unemployment number is 20% higher than the average U.S. unemployment rate in the last two calendar years. Provided by SBA. |
| **Airport Concession Disadvantage Business Enterprise (ACDBE)** |  |  |
| US Federal Airport Concession Disadvantage Business Enterprise (ACDBE) regulations (49 CFR Part 23 |
|  | [ ]  |  |  |  |  |  |  |  |  |
|  |
| Source State/Province Abbreviation |  |  |  | Country Code |  |  |
|  |
| **LGBTQ Owned** | [ ]  |  |  |  |  |  |  |  |  |
|  |
| A LGBTQ Business entity must be at least fifty-one percent (51%) owned, operated, managed, and controlled by an LGBT person or persons who are either U.S. citizens or lawful permanent residents. Exercise independence from any non-LGBT business enterprise, have its principal place of business (headquarters) in the United States and have been formed as a legal entity in the United States to obtain certification. |
| **Green** | [ ]  |  |  |  |  |  |  |  |  |
|  |
| Indicates whether the business is certified as green. |